



2655

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Karl Lu : By the Examiner:
Serial No.: 10/771,588 :
Filed: February 4, 2004 : Group Art Unit:
Title: A SAFE METHOD FOR UPGRADING FIRMWARE OF OPTICAL DISK PRODUCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on March 25, 2004, in a First Class envelope, with sufficient postage thereon, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ReNea D. Berggren

DATED: March 25, 2004

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

Please direct all correspondence and telephone calls to:

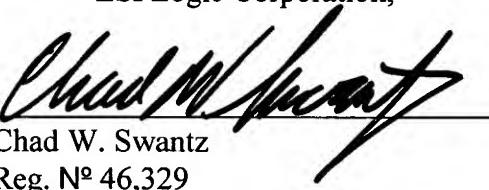
CUSTOMER No. 24319
LEO PETERS
LEGAL DEPARTMENT - IP
LSI LOGIC CORPORATION
M/S D-106
1621 BARBER LANE
MILPITAS, CA 95035

DATED: March 25, 2004.

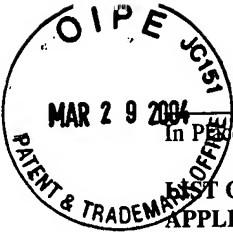
Respectfully submitted,

Karl Lu,
LSI Logic Corporation,

By


Chad W. Swantz
Reg. № 46,329

SUTTER • WEST PC LLO
14301 FNB PARKWAY, SUITE 220
OMAHA NE 68154-5299
(402) 496-0300 (TELEPHONE)
(402) 496-0333 (TELECOPIER)



MAR 29 2004

In Case of FORM PTO-1449 (Modified)

**STATEMENT OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE**

Serial No.: 10/771,588
 Applicant: Karl Lu
 Filing Date: February 4, 2004
 Group:
 Atty. Docket No.: 03-2373

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,968,141	10/19/99	Tsai	710	14	08/04/97
ABA						
ACA						
ADA						
AEA						
AFA						
AGA						
AHA						
<u>AIA</u>						
AJA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
AKA							
AEA							
AMA							
ANA							
AOA							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

- APA
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether nor not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.